



## Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date set forth below.

Roberta Sherman

Name of Person Mailing Paper

Signature of Person Mailing Paper

April 03, 2006
Date of Deposit

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/619,366

Filing Date:

July 14, 2003

Applicant(s):

Marwan Abboud, et al.

Entitled:

**COOLING SYSTEM** 

Examiner:

Rosiland Stacie Rollins

Group Art Unit:

3739

Attorney Docket No.:

21819-146CON

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

## DECLARATION UNDER 37 C.F.R. § 1.131

Sir:

Marwan Abboud, Rachid Mahrouche, and Jean-Pierre Lalonde, the applicants in the above-identified patent application, declare as follows:

Application No. 10/619,366

Filed: 7/14/2003

Attorney Docket No.: 21819-146CON

We are the sole inventors of the subject invention claimed in the above-identified United

States Patent Application Serial No. 10/619,366, filed July 14, 2003.

We have read and understood the office action mailed November 3, 2005 and the

individual references cited therein, and we make this declaration in support of the patentability of

the claims of the United States Patent Application Serial No. 10/619,366.

This declaration made under 37 CFR § 1.131 is made in response to the rejections of the

claims in the foresaid office action under 35 USC § 102(e) based upon United States Patent No.

6,471,694 to Kudaravalli et al.

The present patent application, serial no. 10/619,366, filed on July 14, 2003, claims

priority from patent application serial no. 09/771,031, which was filed on January 26, 2001 and

subsequently issued as U.S. Patent No. 6,592,577. The 09/771,031 application claims priority

from patent application serial no. 09/638,208, which was filed on August 11, 2000, and issued as

U.S. Patent No. 6,635,053. Prior to the August 11, 2000 date of filing of the 09/638,208 patent

application, we conceived the above-identified and claimed invention. As factual evidence of

this, the following facts are entered with supporting documentation.

1. Sometime prior to August 2000, the applicants conceived of a cooling system, hereinafter

the "Invention", as described in patent application serial no. 09/638,208.

Application No. 10/619,366

Filed: 7/14/2003

Attorney Docket No.: 21819-146CON

2. The 09/638,208 application contains sufficient support and enablement for the claims currently pending in application serial no. 10/619,366, as demonstrated by the description and drawings contained therein.

- 4. On or about June 9, 2000, work commenced on a draft document which ultimately became United States Patent Application S/N 09/638,208 (the "Patent Application") and on July 6, 2000, we received and reviewed a draft of the Patent Application, as evidenced by the attached letter and draft document, labeled Exhibit 1.
- 5. On August 11, 2000, an attorney employer representing my employer, CryoCath Technologies Inc., filed the Patent Application in the United States Patent Office.

It is respectfully submitted that the present patent application claims an invention which was conceived prior to August 2000, and reduced to practice with due diligence from before August 9, 2000 leading up to August 11, 2000, the filing date of patent application serial no. 09/638,208, from which the present patent application claims priority. Accordingly, the Kudaravalli reference should be removed as a reference under 35 U.S.C. § 102(e).

Application No. 10/619,366

Filed: 7/14/2003

Attorney Docket No.: 21819-146CON

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:  $\frac{2006}{1/23}$ 

Inventor Name:

Inventor Name:

Rachid Mahrouche

wan Abboud

Inventor Name:

Jean-Pierre Lalonde





## GUNSTER, YOAKLEY, VALDES-FAULI & STEWART, P.A. ATTORNEYS AT LAW

Ft. Lauderdale • Miami • Palm Beach • Stuart • Tallahassee • Vero Beach • West Palm Beach

#### FAX COVER SHEET

**Broward Financial Center** 

500 East Broward Blvd., Ste.1400

Ft. Lauderdale, FL 33394

Phone: 954-462-2000

Fax: 954-523-1722 Website: www.gunster.com

DATE:

July 6, 2000

TO:

Debbie De Roy

FIRM:

CryoCath

FAX NO.:

514/694-7075

PHONE NO.: 514/694-1212 x.299

FROM:

John Christopher

FAX NO.:

954/523-1722

PHONE NO.: 954/468-1314

E-MAIL:

jchristopher@gunster.com

# OF PAGES, INCLUDING COVER:

## MESSAGE:

Re: U.S. Patent Application

**Entitled: COOLING SYSTEM** Our File No. 21819.012

Confidentiality Notice: The information contained in this transmission is legally privileged and confidential, intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you receive this communication in error, please notify us immediately by telephone (collect) and return the original message to us at the above listed address via the U.S. Postal Service. We will reimburse you for postage and/or telephone expenses involved.



Writer's Direct Dial Number: (954) 468-1314 Writer's E-Mail Address: jchristopher@gunster.com

July 6, 2000

## **VIA FACSIMILE**

Ms. Debbie DeRoy CryoCath Technologies, Inc. 16771 Chemin Ste-Marie Kirkland, Quebec Canada H9H 5H3

Re:

U.S. Patent Application

Entitled:

**COOLING SYSTEM** 

Our File No. 21819.121

Dear Debbie:

Transmitted herewith please find a draft of the above-identified utility patent application which includes information provided in Information Disclosure Nos. 99-055, 99-056, and 0012. After the inventors have reviewed it, kindly contact me with any questions or comments at your earliest convenience.

Also enclosed is the Declaration/Power of Attorney form which we would appreciate your reviewing for accuracy of the inventors listed.

Thank you.

Sincerely,

John Christopher\*

JC/sdg Enclosures

\*Not yet admitted to the Florida Bar. Member of the Massachusetts Bar and registered to practice before the United States Patent and Trademark Office.

144445.1

Gunster, Yoakley & Stewart, P.A.
Broward Financial Centre
500 East Broward Boulevard, Suite 1400 • Fort Lauderdale, FL 33394
(954) 462-2000 Fax: (954) 523-1722
e-mail: clientservices@gunster.com • www.gunster.com



## COOLING SYSTEM

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of U.S. Patent Application Serial No. 09/489,646, filed January 24, 2000, which claims priority from U.S. Provisional Patent Application No. 60/117,175, filed on January 25, 1999.

# STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH Not Applicable.

# FIELD OF THE INVENTION

The present invention relates to a coolant system for a catheter or treatment wand used for cryotreatment of tissue. In particular, the coolant system is of the type which connects to a catheter and pumps coolant through the catheter to chill a region of the catheter, such as the distal tip, for treating tissue.

## **BACKGROUND OF THE INVENTION**

A number of cooled catheter systems have been developed for treating tissue in a cardiac setting, either to cool the tissue sufficiently to stun it and allow cold mapping of the heart and/or confirmation of catheter position with respect to localized tissue lesions, or to apply a more severe level of cold to ablate tissue at the site of the catheter ending. In general, the range of treatments which may be effected by a cryocatheter is comparable to the range of applications for RF or thermal ablation catheters, and in particular, these instruments may be configured to achieve either small localized ball shape lesions at the tip of the catheter, or one or more elongated linear lesions extending a length of several centimeters or more along the tip. The latter form of lesion is commonly used to achieve conduction block across a region of the cardiac wall so as to sever a re-entrant pathway, preventing conduction across the region, in order change the

10

5

15

20

cardiac signal path topology, for example, to eliminate a re-entrant pathway responsible for atrial fibrillation or a tachycardia.

In general, when used for endovascular access to treat the cardiac wall, catheters of this type, in common with the corresponding earlier-developed radio frequency or electrothermal ablation catheter, must meet fairly demanding limitations regarding their size, flexibility, and the factors of strength, electrical conductivity and the like which affect their safety and may give rise to failure modes in use. These constraints generally require that the catheter be no larger than several millimeters in diameter so as to pass through the vascular system of the patient to the heart. Thus, any electrodes (in the case of mapping or RF/electrothermal ablation catheters), and any coolant passages (in the case of cryocatheters) must fit within a catheter body of small size.

A number of different fluids have been used for the coolant component of prior art cryotreatment catheters, such as a concentrated saline solution or other liquid of suitably low freezing point and viscosity, and of suitably high thermal conductivity and heat capacity, or a liquified gas such as liquid nitrogen. In all such constructions, the coolant must circulate through the catheter, thus necessitating multiple passages leading to the cooling area of the tip from the catheter handle.

Furthermore, conditions of patient safety must be considered, raising numerous problems or design constraints for each particular system. Thus for example, a high pressure may be required to circulate sufficient coolant through the catheter body to its tip and back, and the overall design of a catheter must be such that fracture of the catheter wall or leakage of the coolant either does not occur, or if it occurs, is harmless. Further, for an endovascular catheter construction, the presence of the coolant and circulation system should not substantially impair the flexibility or maneuverability of the catheter tip and body.

To some extent these considerations have been addressed by using a phase change material as the cryogenic fluid, and arranging the catheter such that the phase change, e.g., from a liquid to a gas, occurs in the treatment portion of the catheter tip. Another

10

5

15

20

possible approach is to employ a pressurized gas, and configure the catheter for cooling by expansion of the gas in the tip structure. However, owing to the small size that such a catheter is required to assume for vascular insertion, or the awkwardness of handling a cryogenic treatment probe generally, the design of a safe and effective coolant circulation system which nonetheless dependably provides sufficient cooling capacity at a remote tip remains a difficult goal.

Among other common problems to be addressed while providing adequate thermal capacity, may be noted the leakage problem mentioned above, the problem of effectively preventing the catheter as a whole from being excessively cold or damaging tissue away from the intended site, and the problem of conduit or valve blockage owing for example to ice particles and the like.

Accordingly, it would be desirable to provide a coolant system which conveniently attaches to a cryocatheter.

It would also be desirable to provide a coolant system which injects and retrieves the coolant from the catheter to allow continuous operation without leakage into the environment or other loss of coolant.

It would further be desirable to provide a treatment system which precisely controls ablation and treatment regimens by conditioning the coolant supply at various point along the fluid path.

## SUMMARY OF THE INVENTION

These and other desirable features are obtained in a coolant system that includes a medical device and a console connectable to the medical device at a connection point. The console controls the temperature of the medical device. The console includes a first cooling system directing coolant to the medical device at a first temperature along a coolant supply line and a second cooling system chilling the coolant within the coolant supply line to a temperature below the first temperature before the coolant reaches the connection point.

10

5

15

20

#### BRIEF DESCRIPTION OF DRAWINGS

These and other features of the invention will be understood by reference to the description below, read in light of the prior art together with illustrative figures, wherein:

FIGS. 1and 1A illustrate a cryocatheter treatment system and cryocatheter;

FIG. 2 is a schematic representation of a coolant system in accordance with one embodiment of the present invention for use with the catheter of FIG. 1;

FIG. 3 is a detailed schematic of another implementation of the coolant system of the present invention;

FIG. 4A is a schematic illustration of still another coolant system configuration;

FIG. 4B is an enthalpy graph with respect to the system of FIG. 4A;

FIG. 5A is a schematic illustration of yet another coolant system configuration;

FIG. 5B is an enthalpy graph with respect to the system of FIG. 5A;

FIG. 6 schematically represents a refrigerant subcooler that can be included in the coolant system configurations of the invention; and

FIG. 7 illustrates another configuration for a subcooler.

## DETAILED DESCRIPTION OF INVENTION

FIG. 1 shows a cryogenic treatment system 100 illustrating the general elements thereof. System 100 includes a treatment catheter 110 having a handle 110a, a treatment console 120 and number of connecting lines 115 which include signal lines for any monitoring or mapping functions as well as a coolant injection line 115a and a coolant return line 115b. As illustrated, the console includes a display screen 120a which may, for example, show both cardiac electrical signals and various status and control screens related to setting or reporting the cooling functions of the catheter or the ablation regimens being administered therewith.

FIG. 1A shows in slightly greater detail a catheter 110 used in a system in accordance with the present invention. As shown, the handle 110a is equipped with input ports for an electrical connector 111, a coolant injection tube connector 112, and a return

10

5

15

20

tube connector 113. These connect via various internal junctions or tubes passing through the handle to provide these three functions to the distal tip of the catheter. The handle may also include various control assemblies, e.g., switches or valves, as well as safety detection or shut down elements (not illustrated).

5

Leading from the handle 110a is an elongated catheter body 110b which extends to the catheter tip 110c, illustrated in enlarged detail to show a representative structure thereof. As shown, in catheter tip 110c the coolant enters through a central tube 1 and exits via a nozzle 2 at the end of the tube to expand in a small contained region forming a chamber 3 at the tip of the catheter. In the illustrated construction, the tube 1 runs concentrically within an outer tube (not numbered) thereby forming an annular return space 4 surrounding the supply tube 1 and extending back to the fluid return connector 113 of the handle. As discussed further below, the return passage for expended coolant is a vacuum passage, thus assuring that leakage into the blood stream cannot occur.

10

15

20

25

The location of chamber 3 defines the cooling region of the catheter tip. In the illustrated embodiment this is a short chamber less than a centimeter long located at the very tip of the catheter. Also shown are a thermocouple 5 positioned within the tip to sense tip temperature, and a plurality of electrodes including a tip electrode 7a and one or more ring electrodes 8a, 8b... which are positioned near the tip for use in mapping and/or detecting cardiac signals. In other embodiments, the chamber 3 defined at the tip of the catheter may be an elongated chamber several centimeters in length for defining a coolant chamber effective to form linear lesions when placed in contact with tissue such as the cardiac wall. For the linear embodiment, multiple expansion nozzles, a perforated inlet tube end segment, or other variation in the construction of the coolant supply line may be used to assure a high rate of cooling along the full length of the expansion chamber. Furthermore, the chamber wall may be very thin, or formed with a metal sleeve or cap to achieve high heat transfer rates. Other structures within the catheter may include torque or steering wires, or other elements conventional in the art for navigation of the catheter

past branch points in vessels, and for urging the catheter tip into contact with a wall once its position is confirmed.

As will be understood from the above, the task of the console is to provide coolant at the tip region in sufficient quantity and for times effective to create the desired lesions. The nature and depth of the lesions created will depend on a number of factors, including the temperature attained in the adjacent tissue, as well as the nature of the cooling cycle by which that temperature is attained. In general when the tissue attains an extremely low temperature, or a temperature effective to create ice crystals within tissue cells, the tissue damage will be irreversible, resulting in effective ablation at the contacted site. The actual cooling rates achieved at the tip will depend to a large extent on the area of contact with the tissue as well as the conductive properties of the adjacent tissue and the structure and geometry of the catheter in addition to the nature of coolant flow passing through the catheter tip. In the present system the latter quantity is controlled, as discussed more fully below, by providing a controller in which the flow of a phase change coolant supplied to the tip is varied to directly control the amount of cooling power available during an ablation cycle. In addition, the primary cooling effect is achieved by expansion of coolant at the inlet nozzle 2 as it enters chamber 3.

While not illustrated, one or more electrical sensing elements in addition to the thermocouple may be provided at various places within the catheter to provide useful feedback or emergency control functions. For purposes of the present patent application, such functions will not be further discussed. However, if provided they may be positioned in a discrete cooling system, which for purposes of illustration may be considered to lie entirely within the console 120, or be external thereto, but in any case to function in relation to the coolant supply elements which will now be described below.

FIG. 2 illustrates one embodiment of a cooling system in accordance with the present invention configured to connect to the inlet and return ports 112, 113 of the catheter 110 (FIG. 1A). As shown, the coolant system 120 includes a coolant supply 30, a coolant conditioner 40, a coolant control 50 and a coolant return section 60. The

10

5

-15

20

control section 50 connects to the inlet 112 of the injection catheter, for example by a supply tube, while the return system 60 connects to coolant return port 113. These are illustrated as separate connections, but as discussed more fully below, they may be implemented with a single vacuum-jacketed line with a quick connect coupler, or other specialized connection which allows a single coupling to the catheter handle for all coolant functions. Similarly, electrical connections may be incorporated in such a single conduit, or may be provided as separate signal cabling. Operation of the coolant system 120 will be most fully understood from a detailed discussion of each of the subassemblies 30, 40, 50, 60.

10

15

20

5

In general terms, the coolant system has a coolant conditioning section 40 with a compressor that provides a conditioned phase change coolant at elevated pressure to the control section 50, which, in turn, regulates the supply of coolant provided to the inlet of the catheter. The return section 60 includes a vacuum pump which continuously draws expended coolant from the catheter at lower pressure and returns it at higher pressure to the coolant conditioner 40, thereby providing a closed circulation loop through the catheter to meet the required ablation or mapping regimens. In the preferred embodiment, the conditioner provides coolant substantially at ambient temperature or colder, and the controller includes an electronically controlled pressure regulator which sets the flow rate of the coolant injected into the catheter, thus regulating the cooling action of the catheter tip. Conditioned coolant is provided to the control section by the conditioner 40, which receives coolant at lower pressure either from the return section 60 or from the supply 30, compresses the coolant to a high pressure, liquefies the coolant, and brings it to approximately ambient temperature at its outlet line 42a leading to the controller. As further shown in FIG. 2, the output from the compressor has a second branch 42b in which excess coolant is not further cooled, but is simply returned to the supply 30.

25

As noted above, conditioner section 40 in addition to the raising the pressure of the coolant supplied to the regulator for controlled injection into the catheter, also conditions the temperature of the high pressure coolant. This is preferably done as shown in FIG. 2, by heat exchange between the inlet supply line 41 and the compressor outlet line 42. As shown in the figure, the compressor outlet line 42 is placed in heat exchange communication, for example via a condenser or heat exchanger 45b, with the inlet line 41. In addition one output branch 42a of the outlet line 42 is placed in heat exchange communication, for example via exchanger 45a, with an upstream portion of the inlet line 41. The compressor 43 operates to compress the coolant from a relatively low pressure, preferably below several atmospheres, to a considerably higher pressure, e.g., 20 to 30 atmospheres as measured in its outlet line 42. The material in line 42 is therefore heated by compression, and the heat exchange with inlet line 41 serves to reduce the temperature rise generated by compression. Furthermore, by providing only a portion of compressor output, namely the catheter-directed branch 42a to the upstream, colder portion of the compressor inlet line 41, the catheter injection supply of coolant is effectively brought to or near ambient temperature or colder, while the downstream heat exchange effected in heat exchanger 45b with the entire output of the compressor is cooled to a lesser extent, serving a more traditional function of liquefying the coolant output and enhancing the overall cooling capacity of the compressed fluid. This ordered heat exchange arrangement provides preferentially greater cooling to the catheter-directed supply line, resulting in a stabilized catheter input over a broader range of operating cycles.

In FIG. 2 the high pressure return 42b to the tank may be implemented with a pressure regulator located in-line ahead of the tank inlet to assure that coolant is returned to the tank only when its use elsewhere in the circulation loop is not required, and that the pressure in the line first builds up to a level higher than the current tank pressure.

Thus, the system of the present invention provides a closed-loop coolant circulation system wherein coolant is conditioned for provision to the inlet of a control module which injects the coolant into a catheter, and the coolant returns in a closed-loop

5

10

15

20

to provide a continuous circulation of fluid at ambient temperature or colder into the catheter.

FIG. 3 shows a prototype embodiment in greater detail, illustrating representative valves and regulators for implementing a preferred closed-loop coolant supply 200. The coolant supply, compressor, control and return portions of system 200 are numbered with numerals 230, 240, 250, and 260 corresponding to the related subassemblies 30, 40, 50 and 60 of system 20. As shown in this embodiment, a refrigerant tank 231 equipped with a magnetic sight glass 231a to indicate fill level, supplies refrigerant through a needle valve 232 along line 233 to a downstream pressure regulator 235. The pressure regulator 235 converts the nominal tank pressure of several hundred pounds per square inch to a fixed level of 14 psia to provide a constant supply pressure to the inlet line 241 of the compressor. At this stage the refrigerant is boiling at a temperature of about -60° Fahrenheit. The vacuum recovery return line 262 joins the refrigerant inlet 241 at this point.

The compressor inlet line 241 passes through heat exchanger 245 en route to the compressor 243, and also passes through a condenser 244, so the low pressure liquid in the inlet line 241 is heated by the hot vapor coming out of the compressor, causing it to become a vapor. The compressor 243 takes the vapor and pressurizes it to about 400 psi. The pressurized output passes along line 242 through dryers D and sight glass SG, after which the high pressure outlet line bifurcates into two branches 242b and 242a. An upstream pressure regulator 246 in line 242b builds and maintains pressure in the high pressure output line allowing the regulator to open and return excess refrigerant to the tank 231 when the pressure reaches a preset level, of about 400 psi, which is higher than the nominal tank pressure, e.g., 200 psi.

The second branch 242a of the output line 242 passes through the heat exchanger 245 located in the upstream portion of the input line 241, where it is further cooled to provide a conditioned output to the controller 250, which as shown includes a motorized pressure regulator 254. Pressure regulator 254 controls the flow rate of coolant provided

10

5

15

20

ì

along line 251 to the inlet port of the catheter (illustrated schematically). By way of example, the pressure regulator 254 may be controlled by a control microprocessor in the console to provide coolant at a pressure of 250 psi for a time interval of 2.5 minutes. Control is generally done by actuating the motor of regulator 254 to achieve a desired set point and leaving the regulator at that setting for the indicated time period. A zero to 500 psi pressure transducer 255 is placed in line 251 to provide feedback signals for implementing the control of the regulator 254, which may further employ feedback from the thermocouple in the catheter.

The foregoing values of pressure and duration are given by way of example only, and it will be understood that typical cooling regimens implemented by the control console 120 (FIG. 1) may run from several seconds to five minutes or more, and that the coolant pressures which are varied to achieve a desired rate of heat transfer or effective lesion depth may vary from the coolant pressure in the tank to approximately the pressure of the compressor output line 242a. Advantageously, the pressure in line 251 remains greater than the saturation pressure of the refrigerant being used such that it does not start to boil before it reaches the tip.

As further shown in FIG. 3, the return line 115b from the catheter attaches to vacuum section 260, while a solenoid operated purge valve 257 extends between the catheter inlet line 251 and the low pressure return line 262 from the vacuum scavenging system 260. It will be understood that purge valve 257 will typically be operated to bleed the inlet line when the catheter is first attached and the supply compressor or return pump, respectively, are operated.

The return line 115b from the catheter passes via vacuum protection solenoid-operated valve 261 to a vacuum pump 265, which maintains a vacuum in the range of 2 to 40 millibars in the return line, and which increases the pressure of the expended coolant vapor to approximately 15 psi. At the outlet side of the vacuum pump a similar solenoid operated protection valve 261a is provided together with a check ball, and an oil filter OF which prevents pump oil from contaminating the circulating coolant or

10

5

15

20

depositing in the coolant valves, catheter passages or other components. A filter, e.g.,  $0.5 \mu m$ , appears in the catheter inlet line 251. The entire vacuum system may be isolated by the solenoid operated protection valves 261, 261a, during start-up or during a sensed over-pressure or blood leakage condition, and a check valve 265 prevents any pressure build-up on the vacuum pressure side of the catheter in the event of pump or compressor failure, allowing coolant return directly into the return line 262 and compressor inlet 241. For this purpose, the compressor output or various bypass or check valves 257, 264 are set a pressure slightly higher than the output setting of the tank conditioner regulator 235, so that the coolant normally circulates into the catheter and through the vacuum system back into the compressor as a closed-loop.

In the illustrated embodiment, a coolant refill port 275 is provided at a solenoid operated valve 277 in the compressor inlet line 241, allowing a refrigerant bottle attached at that point to employ the same compressor 243 of the system to refill the supply tank 231. For this purpose, a solenoid operated by-pass valve 237 is also supplied to bypass the upstream high pressure return regulator 246 between the compressor output line 242b and the tank, and speed up refill of the tank 231. Preferably, above the tank, a solenoid operated valve 238 connects to a vent port to allow venting of any air which may have accumulated in the refrigerant tank due to leakage through the catheter or tubing. This vent is preferably controlled automatically by a suitable control program in the console 120. Venting may be implemented, for example, by providing a temperature sensor in the refrigerant tank and a pressure sensor at its top. Knowing the temperature of the liquid refrigerant in the tank, the vent may be operated until the saturated pressure is reached for the given refrigerant at the indicated tank temperature. Such a venting step is to be performed each time the console is turned on. In addition to the foregoing elements, various pressure indicators or temperature sensors may be situated along the different lines to indicate operating parameters of the fluid therein. These are preferably sensors or indicators of the process control type wherein, rather than a dial display output, they provide an electrical output which connects to a microprocessor programmed

10

5

15

20

to monitor the various conditions continuously to detect relevant safety, control or maintenance conditions.

Referring now to FIG. 4A another embodiment of a closed-loop system is shown schematically, wherein letters A through F correspond to points on a system enthalpy graph depicted at FIG. 4B. Of particular interest in the graph of FIG. 4B are the areas representing a refrigerant in liquid state, gas state, and a mixed state that includes variable percentages of liquid and gas.

The system of FIG. 4A includes a compressor 300 that pressurizes refrigerant in a gas state and passes it through a first cooler or condenser 302. In the condenser 302, the refrigerant transitions from a gas state to a transition or combination liquid and gas state, wherein almost all of the refrigerant is liquid, or if liquid, very close to the point where the refrigerant changes state to a gas. The refrigerant passes through a filter or contaminant remover 304 and thence to a secondary cooler, referred to herein as a subcooler 306. The subcooler 306 chills the refrigerant to a lower temperature than that achieved by the compressor to cause the refrigerant to be completely in the liquid state prior to transfer to a catheter 310. In an exemplary system, the subcooler 306 chills the refrigerant to a temperature colder than 10°C to enable the catheter tip to be chilled to temperatures as low as -90°C.

Ensuring that the refrigerant is in a liquid state before its introduction into the catheter provides significant performance advantages over known systems. For example, in order to achieved maximum cooling power and maintain a predictable and controlled tip temperature for a coolant injection system as described hereinabove, the refrigerant or coolant should exit the injection tube 1 (see FIG. 1A) as a liquid. However, without a subcooler, the coolant is at or near point "C" as shown in FIG. 4B (at the liquid/gas border). Thus, as it enters the catheter and begins to warm, bubbles form in the coolant and the coolant exits the injection tube 1 in spurts instead of as a stream. In some instances, without a subcooler, only 40% or so of the coolant exits the injection tube 1 as a liquid, as about 60% or so of the coolant has already changed state to a gas. Because,

10

5

15

20

there is less fluid to change state to gas, the cooling power of the device is reduced. Further, the liquid/gas spurts cause significant temperature fluctuations that can adversely affect a selected cryotreatment. Both the reduced cooling power and the temperature fluctuation phenomena are increasingly pronounced and problematic the more the diameter of the catheter and injection tube are reduced.

As shown, the subcooler 306 is located within the console 120. This helps to minimize weight and cost of a disposable handle and or catheter components, and it allows the catheter to be much smaller in diameter than a catheter having a secondary or subcooler in the handle or in the catheter. Additionally, locating the subcooler 306 in the console minimizes the space occupied or required by cooling equipment within the catheter, thereby facilitating use of very small diameter catheters (e.g., 3Fr to 7Fr) for cryotreatments.

Continuing to refer to FIGS. 4A and 4B, as the refrigerant is ejected from the line leading from the subcooler 306, it is allowed to change phase from a liquid to a gas and to expand in a low pressure or near vacuum environment created by a vacuum pump 312 at the catheter tip 314. [WHAT IS HAPPENING AT THE COIL "HE d"?] FIG. 4B illustrates the sudden transition from liquid to gas as represented by points "D" to "E" to "F" and to "A" on the enthalpy graph. The vacuum pump 312 causes the expanded gas to be returned to the compressor 300 so the cycle can be repeated.

20

25

5

10

15

FIGS. 5A and 5B illustrate another cooling system configuration that is similar to the closed-loop system shown in FIG. 4A. In this embodiment, there is no compressor 300 or condenser 304. Refrigerant is supplied to the system from a tank or cartridge 316 in substantially liquid state or very close to the point where the refrigerant changes state from liquid to gas (point "C" on the graph of FIG. 5B). The refrigerant passes through a filter or contaminant remover 318 and then to a subcooler 320. The subcooler 320 chills the refrigerant to a temperature that causes the refrigerant to be completely in the liquid state (point "D" on the graph of FIG. 5B) prior to transfer to a catheter 312.

As the refrigerant is ejected from the line leading from the subcooler 320, it is changes phase from a liquid to a gas and expands at the catheter tip 322 in a low pressure or near vacuum environment created by a vacuum pump 324. FIG. 5B illustrates the sudden transition from liquid to gas as represented by points "D" to "E" to "F" and to "G" on the enthalpy graph. The vacuum pump causes the expanded gas to conveyed to a collection tank 326. Cryotreatment can continue until the refrigerant supply bottle 316 is no longer capable of providing liquid refrigerant. Down-time, however, can be minimized if a quick-connect/disconnect mechanism 328 is associated with the supply bottle 316.

10

5

Although a subcooler is shown with respect to the systems of FIGS. 4A and 5A, such a device can also be included in the systems depicted in FIGS. 2 and 3. A subcooler or subcooling system compatible with these systems can include a Peltier cooler, a Stirling engine or an independent closed-loop refrigeration system.

form. As shown, the subcooler includes a chamber 330 through which passes a coiled

refrigerant that is transferred into the chamber 330 as shown by the arrow marked "Ref.

in." The coolant, if compressed gas expands, or if liquid changes state to gas, thereby

exhausted from the chamber 330 as shown by the arrow marked "Ref. out" and returned

336 and the chamber 330 to \_\_\_\_\_ [what does it do differently than an

to the compressor 334. A capillary tube 338 can be interposed between the condenser

refrigerant transfer line 332. A compressor 334 and condenser 336 provide liquid

chilling the transfer line 332 and its contents. The expanded, gas-state coolant is

FIG. 6 discloses an exemplary, independent, closed-loop subcooler in schematic

15

"ordinary" tube?]

20

Although the subcooler system of FIG. 6, can provide effective cooling performance, it can also be bulky, noisy, and heat emitting when compared to the subcooling system of FIG. 7. Referring now to FIG. 7, an insulated enclosure 340 (like chamber 330) encloses a coiled portion of a coolant supply line 342 leading to a medical implement (not shown) as described above. An injection outlet valve 344 regulates

coolant flow from the supply line 342 into the medical implement. A coolant supply tube 346 is in communication with a coolant reservoir 348 (such as bottled, liquid  $N_20$ ) to allow coolant to be directed into the enclosure 340. An outlet 350 is provided to exhaust coolant from the enclosure. Cooling performance can be controlled with a coolant flow regulator 352 that can be make responsive to a temperature sensor 354 within the enclosure 340 and/or a second temperature sensor 356 within the supply line.

The invention being thus disclosed and described in illustrative embodiments herein, variations and modifications as well as adaptations of the invention to other systems will occur to those skilled in the art, and all such variations, modifications and adaptations are considered to lie within the scope of the invention as described herein and defined in the claims appended hereto and equivalents thereof.

What is claimed is:

5

## **CLAIMS**

3

1	1.	A cryogenic medical system comprising:		
2		a medical device;		
3		a console connectable to the medical device at a connection point, the console		
4	cont	controlling temperature of the medical device, and the console including		
5		a first cooling system directing coolant to the medical device at a first		
6	temp	temperature along a coolant supply line; and		
7		a second cooling system chilling the coolant within the coolant supply line		
8	to a	to a temperature below the first temperature before the coolant reaches the connection		
9	poin	t		
1	2.	The system of claim 1, wherein the medical device includes a catheter.		
1	3.	The system of claim 2, wherein the first cooling system includes a coolant return		
2	line	line leading from the medical device, and wherein the first cooling system and the		
3	med	ical device comprise a substantially closed-loop.		
1	4.	The system of claim 3, wherein the first cooling system includes:		
2		a first compressor in fluid communication with a first condenser outputting		
3	cool	coolant into the coolant supply line; and		
4		a vacuum pump in fluid communication with the first compressor that establishes		
5	a pro	essure within the coolant return line that is below ambient atmospheric pressure.		
1	5.	The system of claim 3, wherein the second cooling system includes an enclosure		
2	havi	ng an inlet and an outlet; the enclosure defining a fluid path from the inlet to the		

outlet, and the enclosure enveloping a portion of the coolant supply line.

1	6.	The system of claim 5, further comprising a second compressor in fluid		
2	comm	communication with a second condenser outputting coolant to the inlet of the enclosure		
3	and re	ceiving coolant from the outlet of the enclosure.		
1	7.	The system of claim 2, wherein the first cooling system includes a coolant return		
2	line leading from the catheter to a coolant collection tank, and wherein the first cooling			
3	system	system and the catheter comprise a substantially open-loop.		
1	8.	The system of claim 7, wherein the first cooling system includes:		
2		a coolant reservoir in fluid communication with the fluid supply line; and		
3		a vacuum pump interposed between the catheter and the coolant collection tank.		
1	9.	The system of claim 8, wherein the vacuum pump creates a pressure within the		
2	cathet	er that is below ambient atmospheric pressure.		
1	10.	The system of claim 7, wherein the second cooling system includes an enclosure		
2	having an inlet and an outlet; the enclosure defining a fluid path from the inlet to the			
3	outlet	, and the enclosure enveloping a portion of the coolant supply line.		
1	11.	The system of claim 10, further comprising a compressor in fluid communication		
2	with a	with a condenser outputting coolant to the inlet of the enclosure and receiving coolant		
3	from the outlet of the enclosure.			

1	12.	The system of claim 10, further comprising:	
2		a coolant reservoir in fluid communication with the fluid supply line;	
3		a second fluid supply line in fluid communication with the coolant reservoir and	
4	the in	the inlet of the enclosure.	
1	13.	The system of claim 12, further comprising:	
2		a temperature sensor for measuring the temperature within the enclosure; and	
3		a coolant flow regulator responsive to the temperature sensor for controlling fluid	
4	flow	ow from the second fluid supply line into the enclosure.	
1	14.	The system of claim 12, further comprising a temperature sensor for measuring	
2	the te	emperature within the fluid supply line; and	
3		a coolant flow regulator responsive to the temperature sensor for controlling fluid	
4	flow	from the second fluid supply line into the enclosure.	

1	15. A cryogenic medical system comprising:		
2	a catheter;		
3	a console connectable to the catheter at a connection point, the console controlling		
4	temperature of the catheter, and the console including		
5	a first cooling system including		
6	a coolant supply line leading to the catheter,		
7	a coolant return line leading from the catheter,		
8	a first compressor in fluid communication with a first condenser		
9	outputting coolant into the coolant supply line, and		
10	a vacuum pump in fluid communication with the first compressor		
11	that establishes a pressure within the coolant return line that is below ambient		
12	atmospheric pressure; and		
13	a second cooling system including		
14	an enclosure having an inlet and an outlet, wherein the enclosure		
15	defines a fluid path from the inlet to the outlet, and the enclosure envelops a portion of		
16	the coolant supply line of the first cooling system; and		
17	a second compressor in fluid communication with a second		
18	condenser outputting coolant to the inlet of the enclosure and receiving coolant from the		
19	outlet of the enclosure.		

1	16.	A cryogenic medical system comprising:
2		a catheter;
3		a console connectable to the catheter at a connection point, the console controlling
4	tempe	rature of the catheter, and the console including
5		a first cooling system including
6		a coolant supply line leading to the catheter,
7		a coolant return line leading from the catheter,
8		a coolant reservoir in fluid communication with the fluid supply
9	line,	
10		a coolant collection tank in fluid communication with the coolant
11	return	line, and
12		a vacuum pump interposed between the catheter and the coolant
13	collec	tion tank that establishes a pressure within the coolant return line below ambient
14	atmos	pheric pressure; and
15		a second cooling system including
16		an enclosure having an inlet and an outlet, wherein the enclosure
17	define	es a fluid path from the inlet to the outlet, and the enclosure envelops a portion of
18	the co	polant supply line of the first cooling system; and
19		a second fluid supply line in fluid communication with the coolant
20	reser	voir and the inlet of the enclosure.

## **ABSTRACT**

A cryogenic medical system includes a medical device and a console connectable to the medical device at a connection point. The console controls the temperature of the medical device. The console includes a first cooling system directing coolant to the medical device at a first temperature along a coolant supply line and a second cooling system chilling the coolant within the coolant supply line to a temperature below the first temperature before the coolant reaches the connection point.

143700.1

TX REPORT

TRANSMISSION OK

TX/RX NO

CONNECTION TEL

**SUBADDRESS** 

CONNECTION ID ST. TIME

USAGE T

PGS.

RESULT

2152

CRYOCATH TECH.

07/06 15:25

08'39



GUNSTER, YOAKLEY, VALDES-FAULI & STEWART, P.A. ATTORNEYS AT LAW

Ft. Lauderdale • Miami • Palm Beach • Stuart • Tallahassee • Vero Beach • West Palm Beach

#### SHEET COVER FAX

Broward Financial Center

500 East Broward Blvd., Ste.1400

Ft. Lauderdale, FL 33394

Phone: 954-462-2000

954-523-1722 Fax:

Website: www.gunster.com

DATE:

July 6, 2000

TO:

Debbie De Roy

FIRM:

FAX NO.:

CryoCath

518/04 JUS

PHONE NO.: 514/694-1212 x.299

FROM:

FAX NO.:

John Christopher 954/523-1722

PHONE NO.: 954/468-1314

E-MAIL:

jchristopher@gunster.com

# OF PAGES, INCLUDING COVER:



## MESSAGE:

Re: U.S. Patent Application

Entitled: COOLING SYSTEM Our File No. 21819.012